

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

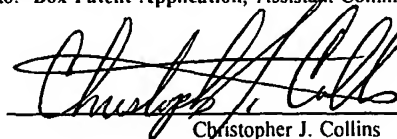
Box Patent Application  
 Assistant Commissioner For Patents  
 Washington, D.C. 20231

## NEW APPLICATION TRANSMITTAL

Transmitted herewith for filing is the patent application of Evan Samuel Deneris, Timothy John Hendricks and Dmitry Viktor Fyodorov for Reagents And Methods For The Screening Of Compounds Useful In The Treatment Of Neurological Diseases.

## CERTIFICATION UNDER 37 C.F.R. § 1.10

I hereby certify that this New Application Transmittal and the documents referred to as enclosed therein are being deposited with the U.S. Postal Service on this date October 25, 2001 in an envelope as "Express Mail Post Office to Addressee" Mailing Label Number EL512053211US addressed to: Box Patent Application, Assistant Commissioner For Patents, Washington, D.C. 20231.



Christopher J. Collins

## 1. Type Of Application

This new application is for a(n)

☒ Original (nonprovisional)

☒ Continuation.

## 2. Benefit Of Prior U.S. Application(s) (35 U.S.C. §§ 119(e), 120, or 121)

☒ The new application being transmitted claims the benefit of prior U.S. application(s) and enclosed are ADDED PAGES FOR NEW APPLICATION TRANSMITTAL WHERE BENEFIT OF PRIOR U.S. APPLICATION(S) CLAIMED.

## 3. Papers Enclosed That Are Required For Filing Date Under 37 C.F.R. § 1.53(b) (Regular) or 37 C.F.R. § 1.153 (Design) Application

57 Pages of Specification  
 1 Pages of Claims  
 1 Page of Abstract  
 12 Sheets of Informal Drawings

## 4. Additional Papers Enclosed

☒ Submission of "Sequence Listing," request under 37 C.F.R. § 1.821(e) to use readable form from another application, and paper copy is included in the specification, pertaining thereto for biotechnology invention containing nucleotide and/or amino acid sequence.

☒ Certificate Re: Sequence Listing

## 5. Declaration

☒ Enclosed  
☒ Unexecuted.

## 6. Inventorship Statement

The inventorship for all the claims in this application is:

☒ the same

## 7. Language

☒ English

## 8. Assignment

☒ An assignment of the invention to Case Western Reserve University is attached.  
☒ Form PTO-1595 will follow.

## 9. Fee Calculation (37 C.F.R. § 1.16)

☒ Regular application

## CLAIMS AS FILED

Number Filed	Number Extra	Rate	Basic Fee - \$740.00 (37 C.F.R. § 1.16(a))
Total Claims (37 C.F.R. § 1.16(c))	1 - 20 =	0 × \$18.00 =	\$0.00
Independent Claims (37 C.F.R. § 1.16(b))	1 - 3 =	0 × \$84.00 =	\$0.00
Multiple Dependent Claim(s), if any (37 C.F.R. § 1.16(d))	+ \$280.00 =		\$0.00

Filing Fee Calculation \$740.00

## 10. Small Entity Statement(s)

☒ Verified Statement(s) that this is a filing by a small entity under 37 C.F.R. §§ 1.9 and 1.27.

Filing Fee Calculation (50% of above) \$370.00

## 11. Fee Payment Being Made At This Time

☒ Enclosed

☒ basic filing fee

\$370.00

Total Fees Enclosed \$370.00

## 12. Method of Payment of Fees

☒ Check in the amount of \$370.00

## 13. Authorization To Charge Additional Fees and Credit Overpayment

☒ The Commissioner is hereby authorized to charge payment of any fees associated with this communication or credit any overpayment to Deposit Account No.: 08-1290. An originally executed duplicate of this transmittal is enclosed for this purpose.

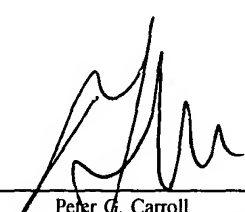
## 14. Power of Attorney by Assignee

☒ Enclosed

## 15. Return Receipt Postcard

☒ Enclosed

Dated: October 25, 2001

  
Peter G. Carroll  
Registration No.: 32,837

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101 Howard Street, Suite 350  
San Francisco, California 94105  
415/904-6500

☒ Incorporation By Reference Of Added Pages

☒ Plus Added Pages For New Application Transmittal Where Benefit Of Prior U.S. Application(s)  
Claimed

Number of pages added 1

400975610301

Attorney Docket No. CASE-008816

ADDED PAGES FOR APPLICATION TRANSMITTAL  
WHERE BENEFIT OF PRIOR U.S. APPLICATION(S) CLAIMED

16. Relate Back

- A. 35 U.S.C. § 119(e)
- B. 35 U.S.C. §§ 120, 121 and 365(c)

- ☒ Amend the Specification by deleting the first two sentence:--This application for patent under U.S.C. 1111(a) claims priority to Provisional Application Serial No. 60/094,264 filed July 27, 1998 under 35 U.S.C. 111(b). The present application is a continuation-in-part of application Ser. No. 09/360,779 filed July 26, 1999.-- and inserting: "This is a Continuation of copending application(s) 09/435,335 filed 11/05/99, which is a Continuation-In-Part of application 09/360,779 filed 6/26/99 issued Patent No. 6,268,216 on 7/31/01, which is a conversion of Provisional Application 60/094,264 filed 7/27/98."

17. Further Inventorship Statement Where Benefit Of Prior Application(s) Claimed

- a. This application discloses and claims only subject matter disclosed in the prior application whose particulars are set out above and the inventor(s) in this application are  
☒ the same.
- b. This application discloses and claims additional disclosure by amendment and a new declaration or oath is being filed. With respect to the prior application, the inventor(s) in this application are  
☒ the same.

18. Notification In Parent Application Of This Filing

- ☒ A notification of the filing of this  
☒ continuation  
is being filed in the parent application from which this application claims priority under 35 U.S.C. § 120.

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